

**United Nations Development Programme – INDIA**  
**CPAP 2008-2012**  
**Annual Work Plan 2011 (January - December)**



Project Title: Sustainable Industrialisation - Building Stakeholder Capacity and Involvement

Award ID: 00048262

Project ID: 00058309

Project Start and end date: 2007-2012

Corresponding CP Outcome(s): Progress towards meeting national commitments under multilateral environmental agreements

Corresponding CP Output(s): Supporting national development objectives with co-benefits of mitigating climate change.

**Project RESULTS** description as outlined in approved project brief:

Project outputs	Indicators	Baseline
1. Increased voluntary environmental improvements by the industry	- Number of industries incentivised to voluntarily improve their environmental performance and better informed financial and investment community: 20 (2011)	20 (2010)
2. Enhanced capacity of the local communities, NGOs, CBOs, Panchayats etc. to engage in the process of industrialization and economic development to protect the environment and the livelihoods of the poor and the marginalized, including women.	- Number of stakeholders participated: 25 (2011)	- 25 (2010)
	- Number of EIAs analysed for public hearings and technical analysis provided: 12 (2011)	- 12 (2010)
	- Number of EIA training programmes held: 4 (2011)	- 2 (2010)

**Project and AWP 2011 BUDGET:**

UNDP funds		Government funds	Donor funds	Total
USD 750,000 (for entire project duration)		-	-	USD 750,000 (for entire project duration)
USD 116,000 (for 2011)		-	-	USD 116,000 (for 2011)
Project Budget (USD)	Actual Exp. In 2008 (USD)	Actual Exp. In 2009 (USD)	Actual exp/outlay in 2010 (USD)	Budget 2011 (USD)
750,000	97,692	88,169	167,500	116,000

Agreed by (Implementing Partner): *[Signature]*

*[Signature]* 1/2/11

Agreed by UNDP: *[Signature]*

(हेम पाण्डे/HEM PANDE)  
 सचिव/Secretary  
 पर्यावरण एवं वन मंत्रालय  
 Min. of Environment & Forests  
 भारत सरकार, नई दिल्ली  
 Govt. of India, New Delhi

Agreed by CSE (Responsible Party):

*[Handwritten signature]*

Project Target for 2011	PLANNED ACTIVITIES#	Indicative month of completion	RESPONSIBLE PARTY	BUDGET (USD)
<p><b>Output 1:</b> Increased voluntary environmental improvements by the industry</p> <p>Target 1.1 Annual green rating of companies (iron and steel sector) (20 companies)</p>	<p><b>1.1:</b></p> <p>a. Sample selection methodology</p> <p>b. Questionnaire preparation</p> <p>c. TAP institutionalisation</p> <p>d. Preparation of issue paper</p> <p>e. Data collection</p> <p>f. Factory visits</p> <p>g. Data analysis</p> <p>h. TAP meetings</p> <p>i. Rating</p> <p>j. Rating release</p>	August 2011	Centre for Science and Environment (CSE)	<p>71300 - Local consultant - 46,000</p> <p>71600 - Travel - 5,000</p>
	<p><b>1.2:</b></p> <p>As above</p>			<p>72100 - Contractual services companies - 7000</p> <p>74500 - Miscellaneous - 5000</p>

<p>Target 1.3 Information disclosure (1 publication + articles in Down to Earth)</p> <p><i>* Once the rating is complete, the findings will then be made public in the form of publications, articles, website, etc.</i></p>	<p><b>1.3:</b> a. Publication b. Down to Earth articles</p>	<p>August-September 2011</p>	<p>CSE</p>	<p>71600 –Travel – 6,000 72100 – Contractual services companies -3,500 74200 - AV print &amp; production -4,000</p>
<b>Total</b>				<b>76,500</b>

<p><b>Output 2:</b> Enhanced capacity of the local communities, NGOs, CBOs, Panchayats etc. to engage in the process of industrialization and economic development to protect the environment and the livelihoods of the poor and the marginalized including women.</p>	<p><b>2.1:</b> Community support activities (technical advisory services and training)</p>	<p>Ongoing and throughout the year</p>	<p>CSE</p>	<p>71300 – Local Consultant – 13500 71600 – Travel – 5000 72100 – Contractual services companies – 4000 74500 – Miscellaneous – 5000</p>
<p><b>Target 2.1</b> Technical support (facilitating public hearings, community support centre and technical analysis of EIAs in select locations) (Number: 12)</p>	<p><i>* Technical analysis of EIAs to facilitate public hearings is carried out with the purpose of enhancing capacity of the local civil society to enable them to comprehend the proposed industrial activity better and take an active part in the decision making.</i></p>	<p>Throughout the year</p>	<p>CSE</p>	<p>71600 – Travel – 2000 72100 – Contractual services companies – 4000</p>
<p><b>Target 2.2</b> Training programme for the civil society on EIA (efforts will be made to include significant number of women participants) (Number: 4</p>	<p>Number of stakeholders participated: 25 per programme)</p>	<p><b>2.2:</b></p>	<p>Throughout the year</p>	<p>71600 – Travel – 2000 72100 – Contractual services companies – 4000</p>
<b>Total</b>				<b>33500</b>

Communication, etc (UNDP)	2000
Audit, Micro assessment costs (UNDP)	2000
State level monitoring costs (UNDP)	1000
Solution exchange/KM/South South Co-op costs (UNDP)	1000
<b>AWP Grand TOTAL in USD</b>	<b>116,000</b>

**# Activity Description:**

1. Annual Green Rating of companies: includes the sectoral rating of companies based on various environmental parameters. The project is based on voluntary disclosure by the companies and this year the iron and steel sector has been chosen for the detailed rating.
2. Capacity building for project staff of CSE: includes training of the project staff itself to get acquainted with the sector to be rated in terms of technology, environmental concerns, etc. Field visits are an important aspect of capacity building.
3. Capacity building for industries: involves capacity enhancement of industries post the rating on best practices for resource consumption, technology, etc. These include training programmes and workshops.
4. Information disclosure: is the dissemination of the final rating and associated analysis to increase transparency of companies with voluntary participation. This may take the form of reports, articles, brochures, etc.
5. Annual Symposium: is a one day symposium on a cross-cutting issue to bring together the various stakeholders for interaction to find possible sustainable solutions.
6. Technical support to civil society on EIA and training: These activities aim to enhance capacity of the civil society to understand and analyse the environmental clearance process in the country and to participate in the same.
7. Consultation to prepare training module and advocacy: This will include meetings with regulators to receive consultation/suggestions in preparing a training module for the regulators in the country to enhance capacity for managing environmental compliance and monitoring.

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### **Fund flow Arrangement and financial management**

As per the approved Annual Work Plan and decisions taken in the Project Steering Committee, UNDP shall reimburse the Implementing Partner (MOEF) through CAAA for the expenses incurred for project activities after obtaining due authorization from the Implementing Partner, as per UNDP procedures. The request from the Implementing Partner will come through the Standard Fund Authorization and Certificate of Expenditures (FACE) Report duly signed by the Project Director or person assigned/delegated by the Implementing Partner. Only after 80% of last advance and 100% of previous advances are spent will the next advance be released. Separate books of account shall also be maintained in order to ensure accurate reporting of expenditure and providing a clear audit trail. Any interest accrued on the project funds during the project cycle will be ploughed back into the project in consultation with Implementing partner and UNDP and project budgets will stand revised to this extent. If there is no scope for ploughing back the interest will be refunded to UNDP.

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